UNITED STATES PATENT AND TRADEMARK OFFICE

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10/656,079	09/04/2003	Jun Ikeda	CFA00003US	8352

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EXAMINER
DICKER, DENNIS T

ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>20 March 2009</u>.
2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.
3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-14 and 16</u> is/are pending in the application.
    4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5)☐ Claim(s) _____ is/are allowed.
6)☒ Claim(s) <u>1-14 and 16</u> is/are rejected.
7)☐ Claim(s) _____ is/are objected to.
8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.
10)☒ The drawing(s) filed on <u>04 September 2003</u> is/are: a)☒ accepted or b)☐ objected to by the Examiner.
    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    a)☒ All   b)☐ Some * c)☐ None of:
      1.☒ Certified copies of the priority documents have been received.
      2.☐ Certified copies of the priority documents have been received in Application No. _____ .
      3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
    Paper No(s)/Mail Date _____ .
4) ☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____ .
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____ .

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1.   A request for continued examination under 37 CFR 1.114, including the fee set

forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this

application is eligible for continued examination under 37 CFR 1.114, and the fee set

forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action

has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on

3/20/2009 has been entered.

Response to Arguments

2.   Applicant's arguments with respect to claims 1-14 and 16 have been considered

but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

1.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set
> forth in section 102 of this title, if the differences between the subject matter sought to be patented and
> the prior art are such that the subject matter as a whole would have been obvious at the time the
> invention was made to a person having ordinary skill in the art to which said subject matter pertains.
> Patentability shall not be negatived by the manner in which the invention was made.

2.   Claims 1-14 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Qiao (hereinafter "Qiao '423" US PUB 2002/0097423) in view of Niemi (US

7,200,651).

With respect to **Claim 1**, Qiao '423 teaches a data processing apparatus **(i.e.,**

Para 0011-0012, Printer) for communicating with a plurality of information processing

apparatuses **(i.e., Fig. 13 and Para 0030, Printer in communication with clients on the network)** where the data processing apparatus compromises a storing means **(i.e., Para 0012, packet monitoring means stores information)** for storing a condition **(i.e., Para 0012 , Packet monitoring means stores last client receive time condition)** for transitioning state of supplying power of a power source unit to each device in the data processing apparatus **(i.e., Para 0012, Last received time is used to determine if the controller will transition the printer into a standby state),** then teaches examining packet headers**(i.e., Fig. 2)** received from programs running on client computers **(i.e., Para 0037-0038)** and power control means **(i.e., Para 0014 , Power forcing means)** for controlling the state of supplying power of the power source unit to each device **(i.e., Para 0014 , Power is saved in each device of the printer when conditions are met)** based on the result of the examination **(i.e., 208 of fig. 12 ,the application software examination checks if the client is idle or not)** and the condition stored by the storing means **(i.e., 206 of Fig. 12, received time stored is compare to predetermined value).**

Qiao '423' does not explicitly teach a requesting means for requesting each of a plurality of information processing apparatuses on the network to provide at least information about application software that is running on each of the plurality of information processing apparatuses; examining means for examining that an application software that has a specific application software *name* is running on each of the plurality of information processing apparatuses based on the information provided from the respective information processing apparatus through the network

However, the mentioned claimed limitations are well known in the art as evidenced by Niemi, In particular, Niemi teaches the use of a requesting means for requesting each of a plurality of information processing apparatuses on the network to provide at least information about application software that is running on each of the plurality of information processing apparatuses **(i.e., Col. 4 lines 44-67, polling process for requesting from each apparatus information about application software)**; examining means for examining that an application software that has a specific application software *name* is running on each of the plurality of information processing apparatuses based on the information provided from the respective information processing apparatus through the network **(i.e., Col. 11 lines 7+ and Fig. 4, process manager for examining application software running on each device)**.

In view of this, it would have been obvious to one having ordinary skill in the art at the time of invention was made to modify the data processing apparatus of Qiao '423 as taught by Niemi, since Niemi suggested in Col. 3 that such a modification would provide an improved printing system capable of executing efficient print operation while automatically reporting changes to a computer network without disrupting the operation of the network

With respect to **Claim 2**, Qiao '423 teaches a data processing apparatus wherein the examining means examines the application software in accordance with user-defined parameters **(i.e., Para 0056 ,the examining means examines client computers in accordance with user defined parameters)**.

With respect to **Claim 3**, Qiao '423 teaches a data processing apparatus wherein the user defined parameters include whether the application software is active **(i.e., 208 of fig. 12 , after the user defined parameter met the application software examination checks if the client is active or not)**

With respect to **Claim 4**, Qiao '423 teaches a data processing apparatus wherein the examining means examines a load average of the application software **(i.e., Para 0012, summing of past average usage rates of clients)** and wherein the power control means controls the power supply state based on the results of the examination of the load average **(i.e., 210 of Fig. 12, Load average is examined and helps determine if the power control means will control the printer into standby mode).**

With respect to **Claim 5**, Qiao '423 teaches a data processing apparatus wherein the user-defined parameters are set on a per examination processing apparatus basis **(i.e., Fig. 7 and Para 0056, Threshold value which is inputted by user can be entered on a per examination processing apparatus basis).**

With respect to **Claim 6**, Qiao '423 teaches a data processing apparatus wherein the power control means limits the power supply state **(i.e., Para 0014 , Power forcing means limits the power)** to each device from the power supply unit to shift to a sleep mode **(i.e., Para 0014 , Power forcing means limits the power in the printer and its devices by putting the printer into a standby state)** based on the results of examination of a plurality of processes provided by the examining means **(i.e., Para 0057-0059 , power save mode is set based on the results of the plurality of clients processes examined by the examination means).**

With respect to **Claim 7,** Matsumoto '434 teaches a data processing apparatus

comprising an image forming device **(i.e., 101 of Fig. 1, Printer)**

With respect to **Claim 8,** Qiao '423 teaches a power control method **(i.e., Para**

0016, Power save control method) for a data processing apparatus including, a power

source unit for supplying power required to form images **(i.e., Hardware configuration**

of a Printer in Fig. 5, all printers include a power source which supplies power

required to form images), for communicating with a plurality of information processing

apparatuses through a network **(i.e., Fig. 13 and Para 0030, Printer in**

communication with clients on the network), the power control method comprising

the steps of: examining **(i.e., Para 0012, packet monitoring means examines**

processes) a application software running on each of the plurality of information

processing apparatuses through the network **(i.e., Para 0013, application software of**

sending packets to the printer by each client is monitored in real time[Also SEE

Para 0037-0038 and an example header[Fig. 2] including Identification and source

packet); and controlling a state of supplying power **(i.e., Para 0014 , Power forcing**

means controls power to printer) of the power source unit to each device in the data

application software apparatus **(i.e., Para 0014, Power is saved in each device of the**

printer when conditions are met) based on the result of the application software

examination **(i.e., 208 of fig. 12 ,the application software examination checks if the**

client is idle or not)and a condition for transitioning the state of supplying power of the

power source unit to each device **(i.e., 206 of Fig. 12, received time stored is**

compare to predetermined value).

Qiao '423' does not explicitly teach requesting each of a plurality of information

processing apparatuses on the network to provide at least information about application

software that is running on each of the plurality of information processing apparatuses;

examining that an application software that has a specific application software *name* is

running on each of the plurality of information processing apparatuses based on the

information provided from the respective information processing apparatus through the

network.

However, the mentioned claimed limitations are well known in the art as

evidenced by Niemi, In particular, Niemi teaches the use of requesting each of a

plurality of information processing apparatuses on the network to provide at least

information about application software that is running on each of the plurality of

information processing apparatuses **(i.e., Col. 4 lines 44-67, polling process for**

requesting from each apparatus information about application software);

examining that an application software that has a specific application software *name* is

running on each of the plurality of information processing apparatuses based on the

information provided from the respective information processing apparatus through the

network **(i.e., Col. 11 lines 7+ and Fig. 4, process manager for examining**

application software running on each device).

In view of this, it would have been obvious to one having ordinary skill in the art

at the time of invention was made to modify the power control method of Qiao '423 as

taught by Niemi, since Niemi suggested in Col. 3 that such a modification would provide

an improved printing system capable of executing efficient print operation while

automatically reporting changes to a computer network without disrupting the operation

of the network

With respect to **Claim 9**, Qiao '423 teaches a power control method wherein the

examining step examines the application software in accordance with user-defined

parameters **(i.e., Para 0056, the examining means examines client computers in**

accordance with user defined parameters).

With respect to **Claim 10**, Qiao '423 teaches a power control method wherein the

user defined parameters include whether the application software is active **(i.e., 208 of**

fig. 12, after the user defined parameter met the application software examination

checks if the client is active or not).

With respect to **Claim 11**, Qiao '423 teaches a power control method wherein the

examining step comprises examining a load average of the application software **(i.e.,**

Para 0012, summing of past average usage rates of clients) and wherein the power

control step controls the power supply state based on the results of the examination of

the load average **(i.e., 210 of Fig. 12, Load average is examined and helps**

determine if the power control means will control the printer into standby mode).

With respect to **Claim 12**, Qiao '423 teaches a power control method wherein the

user-defined parameters are set on a per examination processing apparatus basis **(i.e.,**

Fig. 7 and Para 0056, Threshold value which is inputted by user can be entered on

a per examination processing apparatus basis).

With respect to **Claim 13**, Qiao '423 teaches a power control method wherein the

power control step comprises limiting the power supply state **(i.e., Para 0014 , Power**

forcing means limits the power) to each device from the power supply unit to shift to

a sleep mode **(i.e., Para 0014 , Power forcing means limits the power in the printer

and its devices by putting the printer into a standby state)** based on the results of

examination of a plurality of processes provided by the examining step **(i.e., Para 0057-

0059 , power save mode is set based on the results of the plurality of clients

processes examined by the examination means).**

With respect to **Claim 14,** Qiao '423 teaches a power control method wherein the

data processing apparatus comprises an image forming device **(i.e., Para 0011-0012,

Printer)**

With regards to the storage medium of **Claim 16**, the limitation of the claim 16

are corrected by limitation of claim 1 above. The steps of claim 16 read into the function

step of claim 1.

Conclusion

3.   Any inquiry concerning this communication or earlier communications from the

examiner should be directed to DENNIS DICKER whose telephone number is (571)270-

3140. The examiner can normally be reached on Monday -Thursday 7:30 A.M. to 5:00

P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Twyler Haskins can be reached on (571) 272-7406. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/D. D./
Examiner, Art Unit 2625
3/28/2009

/Twyler L. Haskins/
Supervisory Patent Examiner, Art Unit 2625